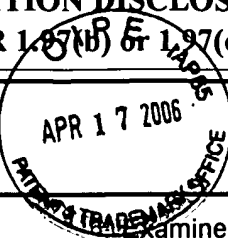


JFW

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
17449

In Re Application Of: Shuichi Ohkubo



Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/781,999	February 19, 2004	Unassigned	23389	1752	5322

Title: **OPTICAL INFORMATION-RECORDING MEDIA AND OPTICAL INFORMATION-RECORDING/REPRODUCTION APPARATUS**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
17449

In Re Application of: Shuichi Ohnubo

APR 17 2006
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Application No.

10/781,999

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Examiner

Unassigned

Customer No.

23389

Group Art Unit

1752

Confirmation No.

5322

Title: OPTICAL INFORMATION-RECORDING MEDIA AND OPTICAL INFORMATION-RECORDING/
REPRODUCTION APPARATUS

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP
as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
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April 12, 2006

(Date)

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Paul J. Esatto, Jr.

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*This certificate may only be used if paying by
deposit account.

Signature

Dated: April 12, 2006

Paul J. Esatto, Jr.

Reg. No. 30,749

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400 Garden City Plaza - Ste. 300

Garden City, NY 11530

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PJE:ae

CC:



THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Shuichi Ohkubo
Serial No.: 10/781,999
Filed: February 19, 2004
For: OPTICAL INFORMATION-RECORDING MEDIA
AND OPTICAL INFORMATION-RECORDING/
REPRODUCTION APPARATUS
Examiner: Unassigned
Art Unit: 1752
Docket: 17449
Dated: April 12, 2006

Conf. No.: 5322

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Japanese Unexamined Patent Application 2003-045085 dated February 14, 2003, together with English language abstract.
2. Japanese Unexamined Patent Application 2002-144736 dated May 22, 2002, together with English language abstract.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 12, 2006.

Dated: April 12, 2006



Paul J. Esatto, Jr.

3. Japanese Unexamined Patent Application 2003-006860 dated January 10, 2003, together with English language abstract.

4. Japanese Unexamined Patent Application 2002-211137 dated July 31, 2002, 2003 together with English language abstract.

5. Japanese Unexamined Patent Application 2002-288876 dated October 4, 2002, together with English language abstract.

6. Japanese Unexamined Patent Application 11-126366 dated May 11, 1999, together with English language abstract.

7. Japanese Unexamined Patent Application 2002-237095 dated August 23, 2002, together with English language abstract.

8. Japanese Unexamined Patent Application 2000-313170 dated November 14, 2000 together with English language abstract.

9. Japanese Unexamined Patent Application 2002-230826 dated August 16, 2002 together with English language abstract.

10. Japanese Unexamined Patent Application 2002-264515 dated September 18, 2002, together with English language abstract.

11. Japanese Unexamined Patent Application 05-016528 dated January 26, 1993, together with English language abstract.

12. Japanese Unexamined Patent Application 02-064929 dated March 5, 1990, together with English language abstract.

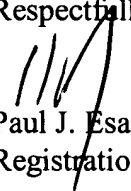
The references were cited in a Japanese Office Action dated January 13, 2006 received from the Japanese Patent Office. Applicant is submitting a copy of Japanese Office Action, an English translation of the Examiner's comments regarding the references from the Office Action and the references cited therein. The relevance of the above-identified references has been described in the Office Action.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of

record submits a translation of portions of an official action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

Further, the undersigned hereby states that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

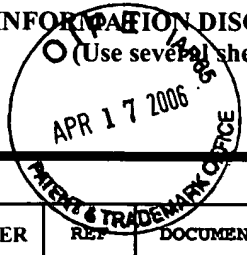


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PJE:ae

INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)



Docket Number (Optional)
17449

Application Number
10/781,999

Applicant(s)

Shuichi Ohkubo

Filing Date

February 19, 2004

Group Art Unit

1752

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		2003-045085	2/14/03	Japan				
		2002-144736	5/22/02	Japan				
		2003-006860	1/10/03	Japan				
		2002-211137	7/31/02	Japan				
		2002-288876	10/4/02	Japan				
		11-126366	5/11/99	Japan				
		2002-237095	8/23/02	Japan				
		2000-313170	11/14/00	Japan				
		2002-230826	8/16/02	Japan				
		2002-264515	9/18/02	Japan				
		05-016528	1/26/93	Japan				
		02-064929	3/5/90	Japan				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.